



Independent Agencies

The six independent agencies do not report through any of the three branches of state government. They are, however, state agencies and receive their spending authority through the Appropriation Act.

Secretarial Area Budget Summary

	General Fund	Nongeneral Fund	TOTAL	Personnel Costs	Other Costs	Positions
OPERATING BUDGET HISTORY:						
FY 2003	\$211,733	\$222,407,849	\$222,619,582	\$81,850,081	\$140,769,501	1,454.00
FY 2004	\$461,928	\$223,597,228	\$224,059,156	\$84,460,240	\$139,598,916	1,454.00
FY 2005	\$294,247	\$225,239,111	\$225,533,358	\$88,969,573	\$136,563,785	1,493.00
FY 2006	\$294,247	\$229,065,940	\$229,360,187	\$90,517,377	\$138,842,810	1,497.00
NEW OPERATING BUDGET SUMMARY:						
FY 2007 Base Budget	\$294,247	\$229,065,940	\$229,360,187	\$96,259,362	\$133,100,825	1,497.00
FY 2007 Addenda	\$12,538	\$94,811,683	\$94,824,221	\$7,979,659	\$86,844,562	37.00
FY 2007 TOTAL	\$306,785	\$323,877,623	\$324,184,408	\$104,239,021	\$219,945,387	1,534.00
FY 2008 Base Budget	\$294,247	\$229,065,940	\$229,360,187	\$97,291,560	\$132,068,627	1,497.00
FY 2008 Addenda	\$12,538	\$110,927,956	\$110,940,494	\$7,974,994	\$102,965,500	37.00
FY 2008 TOTAL	\$306,785	\$339,993,896	\$340,300,681	\$105,266,554	\$235,034,127	1,534.00

State Corporation Commission

Mission Statement

Have custody of and preserve all records, documents, papers and files of the Commission and make them available for public examination. When requested, make and certify copies of documents and furnish information from Commission records. Process and maintain corporate, limited liability company, business trust and partnership filings, Uniform Commercial Code financing and related statements and federal tax liens. Receive all registration fees, fines, penalties and judgments imposed by the Commission. Issue all notices, writs, processes or orders awarded by the Commission. Keep a record of all proceedings, orders and findings of the public sessions of the Commission.

Agency Budget Summary

	General Fund	Nongeneral Fund	TOTAL	Personnel Costs	Other Costs	Positions
OPERATING BUDGET HISTORY:						
FY 2003	\$0	\$92,496,663	\$92,496,663	\$35,366,054	\$57,130,609	653.00
FY 2004	\$0	\$93,151,463	\$93,151,463	\$36,966,248	\$56,185,215	653.00
FY 2005	\$0	\$89,898,495	\$89,898,495	\$35,616,404	\$54,282,091	653.00
FY 2006	\$0	\$92,671,801	\$92,671,801	\$36,277,685	\$56,394,116	653.00
NEW OPERATING BUDGET SUMMARY:						
FY 2007 Base Budget	\$0	\$92,671,801	\$92,671,801	\$39,780,280	\$52,891,521	653.00
FY 2007 Addenda	\$0	(\$3,097,660)	(\$3,097,660)	\$0	(\$3,097,660)	0.00
FY 2007 TOTAL	\$0	\$89,574,141	\$89,574,141	\$39,780,280	\$49,793,861	653.00
FY 2008 Base Budget	\$0	\$92,671,801	\$92,671,801	\$40,812,478	\$51,859,323	653.00
FY 2008 Addenda	\$0	(\$2,403,650)	(\$2,403,650)	\$0	(\$2,403,650)	0.00
FY 2008 TOTAL	\$0	\$90,268,151	\$90,268,151	\$40,812,478	\$49,455,673	653.00

Agency Summary of Recommended Operating Budget Addenda

► Assume administrative responsibilities for CapTel service costs

Funds the State Corporation Commission (SCC) for assuming fiscal responsibilities for the CapTel service from the Department for the Deaf and Hard of Hearing (VDDHH). Recently changed contractual language has eliminated federal restrictions that forced the SCC to pass CapTel invoices to the primary user of this service, VDDHH. For 2007, \$1.5 million (NGF). For 2008, \$2.2 million (NGF).

Agency Service Areas:

Corporation Commission Clerk's Services

The services of the Clerk's Office of the State Corporation Commission began pursuant to the Constitution of 1902 when the function of incorporating new corporations was given to the State Corporation Commission. Records related to corporations formed by the General Assembly or courts of record were transferred to the Commission in 1930. In 1903, there were 519 corporations of record and 4 Clerk's Office employees, including the Clerk, compared to over 330,000 active business entities of record and 75 employees at year-end 2004.

Effective January 1, 1987, pursuant to Chapter 607, 1985 Acts of the Assembly, the Clerk's Office became the central filing office for limited partnerships, both foreign and domestic.

Effective July 1, 1991, pursuant to Chapter 168, 1991 Acts of the Assembly, the Clerk's Office became the central filing office for limited liability companies, both foreign and domestic.

Effective July 1, 1994, pursuant to Chapter 350, 1994 Acts of the Assembly, the Clerk's Office became the central filing office for registered limited liability partnerships.

Effective July 1, 1995, pursuant to Chapter 648, 1995 Acts of the Assembly, the Clerk's Office serves as a depository for public inspection of performing rights contracts or license agreements, membership lists and copyrighted musical works filed by performing rights societies under the Virginia Music Licensing Fees Act.

Effective July 1, 1997, pursuant to Chapter 292, 1996 Acts of Assembly, the Virginia Uniform Partnership Act (1996) provides for the centralized filing with the Clerk’s Office of “statements” related to general partnerships, both foreign and domestic.

Effective January 1, 1998, pursuant to Chapter 216, 1997 Acts of the Assembly, corporate registration fees and annual reports became due in the anniversary month the corporation was incorporated or authorized to transact business in Virginia (rather than all reports and fees being due by March 1). This resulted in the fairly even distribution of due dates throughout the year. Corresponding changes were made to the statutory provisions concerning automatic termination/revocation.

Effective April 16, 1998, pursuant to Chapter 723, 1998 Acts of the Assembly, if the person upon whom there is a suggestion of liability as provided in Sec. 8.01-511 of the Code of Virginia is a corporation, the summons shall be served upon the registered agent of the corporation or upon the Clerk of the State Corporation Commission in certain instances, as provided in Sec. 8.01-513. This has increased the number of telephone calls and service of process documents received in this office.

Effective July 1, 2001, pursuant to Chapter 1007, 2000 Acts of the Assembly, Article 9 of the Uniform Commercial Code was repealed and replaced by Article 9A (Sec. 8.9A-101 et seq. of the Code of Virginia).

Effective July 1, 2002, pursuant to Chapter 545, 2001 Acts of the Assembly, two new business entity transactions were created. One is “domestication,” which is a process by which a Virginia corporation can become a foreign corporation or a foreign corporation can become a Virginia corporation. The other is “conversion,” which is a process by which a Virginia corporation converts to a Virginia limited liability company or a Virginia limited liability company converts to a Virginia corporation.

Effective July 1, 2002, pursuant to Chapter 545, 2001 Acts of Assembly, the Commission is authorized to charge and collect fees for providing expedited services in the Clerk’s Office. We anticipate the number of expedite requests to grow, which means more documents will need to be reviewed on a time-sensitive basis and more communication between the staff and the filing party.

Pursuant to the 2002 Appropriations Act (Chapter 899, 2002 Acts of Assembly), annual registration fees assessed against domestic and foreign stock corporations doubled, beginning with fee payments due on or after July 1, 2002. This legislation did not affect the registration fee assessed against nonstock corporations.

Effective July 1, 2003, pursuant to Chapter 374, 2003 Acts of Assembly, a domestic nonstock corporation that is not legally required to be a domestic corporation may domesticate as a foreign nonstock corporation and a foreign nonstock corporation may domesticate as a Virginia nonstock corporation.

Effective October 1, 2003, pursuant to Chapter 621, 2002 Acts of Assembly, the Clerk’s Office will become the central filing office for business trusts, both foreign and domestic.

Effective October 1, 2003, pursuant to Chapter 597, 2003 Acts of Assembly, registered agent information must be updated when the agent changes its name or is the nonsurviving party to a merger.

Effective October 1, 2004, pursuant to Chapter 592, 2003 Acts of Assembly, the name of any corporation, limited liability company, business trust, or limited partnership must be distinguishable upon the records of the Commission from the name of any of these types of business entities.

The Clerk’s Office is the central filing office in Virginia for: articles of incorporation, amendment, merger, dissolution, termination; qualifications of foreign corporations; organizational documents related to limited partnerships, limited liability companies, business trusts; statements of registration as a registered limited liability partnership; and, statements submitted by general partnerships. Uniform Commercial Code financing and related statements and federal tax liens are also filed with this office. The Clerk has the powers, discharges the functions and performs the duties of a clerk of a court of record. The Clerk is responsible for the following: keeps a record of all proceedings, orders, findings and judgments of the public sessions of the Commission; has custody of and preserves all records, documents, papers and files of the Commission and is responsible for the availability of all files for public examination; makes and certifies copies from any record, document, paper or file and affixes the seal of the Commission; charges and collects the fees prescribed by statute; is agent for service of process for various types of business entities in Virginia; and, prepares the record of all Commission cases appealed to the Virginia Supreme Court.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$10,711,867	\$10,148,808	89.00	89.00
Total for Service Area	\$10,711,867	\$10,148,808	89.00	89.00

Objective: Streamline and enhance access to our information and services and make them available twenty-four hours a day, seven days a week.

Objective: Reduce repetitive tasks such as manual data entry and enhance workflow by electronically tracking documents and providing notice of overdue work.

Objective: Provide enhanced management reports capabilities.

Regulation of Investment Companies, Products and Services

The Division of Securities and Retail Franchising is charged with the responsibility of administering and enforcing the Virginia Securities Act, Virginia Retail Franchising Act and Trademarks and Service Marks Act. The Division is divided into four main sections. The Enforcement Section reviews and investigates all securities and investment/advisor complaints against unregistered entities, and investigates franchise consumer complaints. The Broker-Dealer and Investment/Advisor Audit Section reviews and investigates all consumer complaints against registered broker-dealers and investment/advisors or broker-dealers and investment/advisors applying for registration and conducts audits to determine level of compliance with broker-dealer and investment/advisors statute and rules. The Examination Section reviews and grants or denies exemptions, securities and franchise registration filings. The Registration Section reviews and registers or rejects broker-dealers, agents, investment/advisors, and investment/advisor representative applicants and examines financial data of broker-dealers and investment/advisor registrants; and reviews and grants or denies applications for trademarks and service marks.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$5,127,947	\$4,685,650	36.00	36.00
Total for Service Area	\$5,127,947	\$4,685,650	36.00	36.00

Objective: To limit the number of fraudulent securities, investment advisory and franchise transactions through effective registration and law enforcement and provide protection to consumers by registration of trademarks and service marks.

Regulation of Financial Institutions

The examination, supervision, and regulation of financial concerns. This includes disposition of applications to engage in such a business, and assisting consumers who have complaints against such institutions. For depository institutions, the examination focus is on the safety and soundness of the institution. In contrast, the examination focus for non-depository institutions is on compliance with applicable federal and state statutes, rules, and regulations.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$12,852,853	\$12,929,367	108.00	108.00
Total for Service Area	\$12,852,853	\$12,929,367	108.00	108.00

Objective: To maintain a minimum \$60 billion asset base in Virginia chartered bank and a minimum \$4 billion asset base in Virginia chartered credit unions.

Objective: To assess the safety and soundness ramifications attendant with expansion into new lines of business.

Objective: To reduce on-site examination time as a means to contain internal costs, reduce investigative time for applications, and improve the timely availability of information both within the Bureau and to external users of Bureau data.

Objective: To prevent the loss of either state chartered banks or state chartered credit unions resulting from a perceived regulatory bias in favor of one or the other.

Objective: To protect the citizens of the Commonwealth from the financial hardships endemic to predatory lending.

Objective: To provide individual citizens the financial acumen to make educated decisions affecting their personal and family finances and the ability to make appropriate distinctions among competing financial products, services, and providers.

Regulation of Insurance Industry

The major activities of the BOI include the evaluation of applications from organizations applying for licenses to underwrite and to offer insurance products in Virginia; the audit and review of annual and quarterly financial statements; the performance of comprehensive and “specific concern” financial condition examinations; and the review of required filings and special reports to ensure that entities subject to regulation by the BOI are financially sound and capable of meeting their contractual obligations for the protection of all Virginia consumers. Additionally, if the operations of an insurance entity domiciled in Virginia becomes hazardous to policyholders, creditors or the public or if there is a reasonable expectation that the entity will not be able to meet its obligations to policyholders, the insurance commissioner must be prepared to assume the responsibilities of receivership. The initial charge is likely to be rehabilitation or conservation, and ultimately could be liquidation.

The BOI reviews Life & Health and Property & Casualty policy form and rate filings to ensure that understandable insurance products are available at prices that are actuarially sound for the benefits provided, and that the benefits provided under the products meet all standards established under Virginia law. The BOI assists Virginia consumers and insurance entities in resolving disputes. The BOI also provides ombudsman services to assist managed care enrollees with internal managed care appeals and supports the facility for an independent external appeal process for managed care appeals that cannot be resolved through the internal processes provided by the managed care health insurance plans. The BOI also identifies entities for potential examination based upon a market analysis process, conducts on-site and office-based Property & Casualty and Life & Health market conduct examinations, and prepares written reports of its findings. The BOI provides a number of consumer “outreach” services, including the preparation and distribution of printed consumer guides, a speakers’ bureau to provide in-person presentations to both consumer and industry groups, audio-visual presentations that can be presented in person or in the form of a compact disk, as well as an extensive and expanding Internet web site. Such outreach efforts aim to ensure that the services and benefits that Virginia consumers receive from insurance companies and agents are understandable, consistent with policy provisions, and fairly and equitably delivered.

The BOI oversees the administration of insurance agent qualification examinations; issues new insurance agents’ licenses as well as new and renewal insurance consultant, managing general agent, reinsurance intermediary, surplus lines broker, and viatical settlement broker licenses; helps administer the law requiring certain agents to complete biennial continuing education requirements; resolves agent-related complaints concerning both Property & Casualty and Life & Health insurance; investigates insurance agents, agencies and local company offices to assure that insurance agents operating in Virginia are competent and knowledgeable of the insurance business, and that insurance agents conduct their business according to statutory and regulatory requirements; and assists local prosecutors in the preparation of criminal prosecutions of agents.

The BOI also participates in the National Association of Insurance Commissioners (NAIC) to promote consistency and uniformity in the regulation of insurance, and in the exchange of information and ideas concerning regulatory matters. BOI staff strives to stay informed by monitoring NAIC and insurance industry activities concerning the development, reporting and valuation of new investments and investment vehicles in order to address proposals for regulatory change and legislative action. The BOI works with and responds to our domestic insurance entities to resolve administrative differences and regulatory issues occasioned by product development and changes in competitive markets. The BOI strives to address industry concerns while still maintaining adequate and reliable solvency and market oversight. The BOI is constantly studying new regulatory approaches to address the existence and regulation of new products, seeking input from other Commission divisions when products have investment, or banking significance.

When appropriate, the BOI is prepared to assist the General Assembly in preparing statutory language to address new regulatory structures and products and to develop timely regulations and administrative procedures as a result of legislative enactments. BOI staff monitors federal and state activity involving the affordability and availability of insurance, the influence of financial markets in insurance, and the effects of threats to national security in the insurance industry. The BOI is represented at meetings of organizations at the state, national and federal levels with a particular interest in insurance issues. The BOI undertakes to suggest to the Commission and, ultimately, the General Assembly, revisions to existing statutes and regulations that have become obsolete or incorrect because of legislative changes, changes in industry practices, modification of NAIC models, changes in technology, or changes made at the federal level.

The BOI is working to improve service and communication with constituents by enhancing Internet capabilities and other technologies to allow consumers, insurance entities and agents greater and more immediate access to information and interaction with the Bureau (e.g. automation of form and rate filings, consumer complaints, and agent license applications on a national basis). The BOI will perform a study on the ease of use of the BOI web site and the types of information contained on the BOI web site to determine how it compares to other state insurance departments’ web sites. The BOI plans to continue to expand the use of the Internet, Interactive Voice Response Technology, Electronic Mail, Electronic Funds Transfer and Imaging, etc. to expand the scope of information available and retrievable by use of these technologies. The BOI also plans to implement an e-mail list to replace paper “interested parties” mailing list for BOI administrative letters and regulations. The BOI’s Financial Examination staff plans to increase reliance on TeamMate 2000 as a financial analysis tool, which includes scanning and downloading documents (e.g. financial statements) and use of worksheets. Finally, the BOI is considering implementing disclosure of general consumer-related information available to consumers through the NAIC’s database by populating the database with Virginia-specific information regarding consumer complaints. This project will require our continued participation in ongoing efforts at NAIC to standardize the reporting of complaint and inquiry statistics so that information is consistent among all the states and uniform in format.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$27,080,153	\$26,931,118	209.00	209.00
Total for Service Area	\$27,080,153	\$26,931,118	209.00	209.00

Regulation of Telecommunications Companies

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$3,922,490	\$4,607,600	26.00	26.00
Total for Service Area	\$3,922,490	\$4,607,600	26.00	26.00

Regulatory Accounting and Policy Issues

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$2,759,109	\$2,803,587	22.00	22.00
Total for Service Area	\$2,759,109	\$2,803,587	22.00	22.00

Public Utility Economics and Finance

Investigate and prepare testimony and reports on accounting and policy issues related to utility rate and certificate applications, and ex. parte proceedings. This Activity is governed by §§ 56-226; 56-232 through 56-265; 56-265.1 through 56-265.9; and 56-532 of the Code of Virginia.

Conduct accounting audits (utility compliance, fuel factor, affiliate, and other audits as requested by the Commission). This Activity is governed by the Code of Virginia, same chapters as Activity #1. Also, audit of the Virginia Pilot's Association, governed by § 54-1.918 Code of Virginia, and the Washington Metropolitan Area Transit Commission governed by One Hundred First Congress of the United States of America Joint Resolution No. 520.

Review accounting information and monitor the financial status of utility companies. (Annual Informational Filing (AIF) reports, annual accounting reports, and monthly financial statements). This Activity is governed by the Code of Virginia, same chapters as Activity #1.

Investigate utility applications for affiliate agreements and transactions, including the acquisition and sale of utility assets; monitor and conduct audits of affiliate transactions and related costs as needed. This Activity is governed by §§ 56-76 through 56-87; and 56-88 through 56-92 of the Code of Virginia.

Review and evaluate Alternative Regulatory Plans (ARPs) filed by utility companies. Conduct appropriate follow-up actions related to implementation of such plans. This Activity is governed by the Code of Virginia, § 56-235.2 for electric utilities, by § 56-235.5 for telephone utilities, and by § 56-235.6 for gas utilities.

Review and evaluate electric utility earnings information filed by electric utilities on a functional basis and report both total and generation only Virginia jurisdictional earnings to the Attorney General. This activity is governed by §30-205.3 of the Code of Virginia.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$1,783,672	\$1,823,907	13.00	13.00
Total for Service Area	\$1,783,672	\$1,823,907	13.00	13.00

Utility Safety

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$3,480,533	\$3,503,108	26.00	26.00
Total for Service Area	\$3,480,533	\$3,503,108	26.00	26.00

Regulation of Energy Companies

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$4,095,512	\$4,141,405	18.00	18.00
Total for Service Area	\$4,095,512	\$4,141,405	18.00	18.00

Valuation and Taxation of Public Service Companies

Provides expert centralized appraisal and assessment assistance to all localities and the Commonwealth in matters relating to the taxation of public service companies, electric suppliers, and telecommunications companies. The assessments include all property of these companies and all applicable taxes that are assessed, billed, and collected under this activity.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$2,125,256	\$2,163,195	14.00	14.00
Total for Service Area	\$2,125,256	\$2,163,195	14.00	14.00

Objective: Continue to improve upon the methods of assessments due to changes in the industry as a result of deregulation and to provide and distribute a fair and equitable assessment to the cities, counties, and towns.

Distribution of Uninsured Motorist Fee

The Uninsured Motorists Fund (Fund) is collected by the Division of Motor Vehicles (DMV). The Fund is composed of fees collected from individuals licensed to drive a motor vehicle in the Commonwealth that do not wish to purchase automobile insurance. Virginia Code Section 46.2-706 requires individuals to pay a fee in lieu of purchasing insurance. The purpose of the Fund is to reduce the cost of uninsured motorists coverage for all the citizens of the Commonwealth. The DMV administrative expenses are subtracted from the Fund by DMV before the Commission distributes money back to those insurance carriers writing motor vehicle bodily injury and property damage liability insurance on motor vehicles registered in the Commonwealth. The distribution formula is set forth in Section 38.2-3001 of the Code of Virginia.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$8,750,849	\$8,750,849	0.00	0.00
Remove one-time funding	(\$4,597,449)	(\$4,597,449)	0.00	0.00
Total for Service Area	\$4,153,400	\$4,153,400	0.00	0.00

Distribution of Rolling Stock Taxes

Provides for the central assessment, billing, collection, and distribution of the State Rolling Stock Tax on certificated common carriers of passengers operating in Virginia.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$504,000	\$516,096	0.00	0.00
Total for Service Area	\$504,000	\$516,096	0.00	0.00

Objective: Continue to improve upon the method of assessment and the distribution of the tax to all localities.

Telecommunications Relay Fee

Monitor and review all expenses associated with the operation of the telecommunications relay service center. Public Service Taxation Division collects the operating funds from the local exchange telephone companies. Division of Communications approves the disbursement of funds to the relay provider and participates in the development of informational and educational programs.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$9,477,560	\$9,667,111	0.00	0.00
<i>Assume administrative responsibilities for CapTel service costs</i>	\$1,499,789	\$2,193,799	0.00	0.00
Total for Service Area	\$10,977,349	\$11,860,910	0.00	0.00

Objective: To ensure that the relay provider and regulated telecommunication companies comply with applicable Virginia statutes and SCC rules.

Administrative and Support Services

The State Corporation Commission is composed of 16 operating divisions. The size and complexity of the Commission as a whole demands the existence of a select group that can provide the central administrative functions that will enable the Commission to function as effectively and efficiently as possible. By removing much of the administrative burden from the divisions, they can concentrate efforts on their particular area of concern.

The Commission also requires central management to keep the intra-organizational policies consistent throughout the various divisions. Without such managerial direction, inconsistencies in internal divisional policy could result in inter-divisional conflict.

This activity contains the three Commissioners and their assistants, the Counsel to the Commission and their administrative support staff.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$0	\$0	92.00	92.00
Total for Service Area	\$0	\$0	92.00	92.00

State Lottery Department

Agency Budget Summary

	General Fund	Nongeneral Fund	TOTAL	Personnel Costs	Other Costs	Positions
OPERATING BUDGET HISTORY:						
FY 2003	\$0	\$75,637,643	\$75,637,643	\$18,936,774	\$56,700,869	309.00
FY 2004	\$0	\$75,637,643	\$75,637,643	\$18,936,774	\$56,700,869	309.00
FY 2005	\$0	\$76,337,975	\$76,337,975	\$18,959,956	\$57,378,019	309.00
FY 2006	\$0	\$76,337,975	\$76,337,975	\$18,959,956	\$57,378,019	309.00

NEW OPERATING BUDGET SUMMARY:

FY 2007 Base Budget	\$0	\$76,337,975	\$76,337,975	\$19,259,088	\$57,078,887	309.00
FY 2007 Addenda	\$0	\$1,609,634	\$1,609,634	\$1,609,634	\$0	0.00
FY 2007 TOTAL	\$0	\$77,947,609	\$77,947,609	\$20,868,722	\$57,078,887	309.00
FY 2008 Base Budget	\$0	\$76,337,975	\$76,337,975	\$19,259,088	\$57,078,887	309.00
FY 2008 Addenda	\$0	\$1,609,634	\$1,609,634	\$1,609,634	\$0	0.00
FY 2008 TOTAL	\$0	\$77,947,609	\$77,947,609	\$20,868,722	\$57,078,887	309.00

Agency Summary of Recommended Operating Budget Addenda

► **Transfer centrally funded amounts to agency budgets**

Adjusts the agency budget to reflect amounts moved from Central Appropriations to cover the cost of items such as the continuation of 2005 and 2006 salary and health insurance premium increases, and changes in retirement and disability contribution rates. For each year, \$1.6 million (NGF).

Agency Service Areas:

Regulation and Law Enforcement

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$2,677,694	\$2,677,694	32.00	32.00
<i>Transfer centrally funded amounts to agency budgets</i>	\$156,834	\$156,834	0.00	0.00
Total for Service Area	\$2,834,528	\$2,834,528	32.00	32.00

Gaming Operations

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$67,645,732	\$67,645,732	227.00	227.00
<i>Transfer centrally funded amounts to agency budgets</i>	\$1,171,112	\$1,171,112	0.00	0.00
Total for Service Area	\$68,816,844	\$68,816,844	227.00	227.00

Administrative Services

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$6,014,549	\$6,014,549	50.00	50.00
<i>Transfer centrally funded amounts to agency budgets</i>	\$281,688	\$281,688	0.00	0.00
Total for Service Area	\$6,296,237	\$6,296,237	50.00	50.00

Virginia College Savings Plan

Mission Statement

It is the mission of the Virginia College Savings Plan (VCSP) to enhance the accessibility and affordability of higher education for all citizens of the Commonwealth by providing a menu of investment options under Section 529 of the Internal Revenue Code to allow college savings in a tax-advantaged investment environment.

Agency Budget Summary

	General Fund	Nongeneral Fund	TOTAL	Personnel Costs	Other Costs	Positions
OPERATING BUDGET HISTORY:						
FY 2003	\$0	\$3,654,608	\$3,654,608	\$2,848,653	\$805,955	50.00
FY 2004	\$0	\$3,812,127	\$3,812,127	\$2,725,239	\$1,086,888	50.00
FY 2005	\$0	\$3,899,222	\$3,899,222	\$3,283,733	\$615,489	50.00
FY 2006	\$0	\$3,899,222	\$3,899,222	\$3,283,733	\$615,489	50.00
NEW OPERATING BUDGET SUMMARY:						
FY 2007 Base Budget	\$0	\$3,899,222	\$3,899,222	\$3,451,242	\$447,980	50.00
FY 2007 Addenda	\$0	\$86,079,365	\$86,079,365	\$487,128	\$85,592,237	0.00
FY 2007 TOTAL	\$0	\$89,978,587	\$89,978,587	\$3,938,370	\$86,040,217	50.00
FY 2008 Base Budget	\$0	\$3,899,222	\$3,899,222	\$3,451,242	\$447,980	50.00
FY 2008 Addenda	\$0	\$101,578,293	\$101,578,293	\$487,128	\$101,091,165	0.00
FY 2008 TOTAL	\$0	\$105,477,515	\$105,477,515	\$3,938,370	\$101,539,145	50.00

Agency Summary of Recommended Operating Budget Addenda

► **Transfer centrally funded amounts to agency budgets**

Adjusts the agency budget to reflect amounts moved from Central Appropriations to cover the cost of items such as the continuation of 2005 and 2006 salary and health insurance premium increases, and changes in retirement and disability contribution rates. For each year, \$210,370 (NGF).

► **Provide appropriation for sum sufficient estimates in base budget**

Appropriates the current base budget estimates and continues to provide sum sufficient language. Currently, the Virginia College Savings Plan has appropriation estimates for sum sufficient amounts set out in language. For each year, \$55.3 million (NGF).

► **Adjust sum sufficient appropriation for increased payment of higher education expenses**

Adjusts the agency budget to reflect the estimated increase required to provide sufficient appropriation for mandatory payments to all institutions of higher education and other third parties under the terms of the master agreement between customers and the Virginia College Savings Plan under the Virginia Prepaid Education Program (VPEP) and the Virginia Education Savings Trust (VEST). For 2007, \$30.0 million (NGF). For 2008, \$45.0 million (NGF).

► **Adjust sum sufficient appropriation for increased growth of Virginia Prepaid Education Program expenses**

Adjusts the agency budget to reflect the estimated increase required to provide sufficient appropriation to cover additional variable costs associated with the continued growth in participation in the Virginia Prepaid Education program (VPEP), such as lockbox processing services and asset custodial services. Invested assets are in excess of one billion dollars. For 2007, \$218,835 (NGF). For 2008, \$307,335 (NGF).

► **Adjust sum sufficient appropriation for increased growth of Virginia Education Savings Trust**

Adjusts the agency budget to reflect the estimated increase required to provide sufficient appropriation to cover additional variable costs associated with the Virginia Education Savings Trust (VEST), such as lockbox processing services and asset custodial services. As of June 30, 2005 invested assets totaled \$558 million. For 2007, \$254,562 (NGF). For 2008, \$664,990 (NGF).

► **Adjust sum sufficient appropriation for increased cost of the systems development services**

Adjusts the agency budget to reflect costs associated with the operation of a software product developed specifically for the agency to record accounting transactions for Internal Revenue Code (IRC) Section 529 prepaid and savings programs as well as the operation of the agency's secure hot site. For each year, \$101,653 (NGF).

Agency Service Areas:

Payments to Institutions of Higher Education

This service area reflects all activity related to the distribution of higher education benefits, by both manual and electronic interface, to institutions of higher education and other third parties designated by the agency's customers within service areas 72506 - Investment Services for Virginia Prepaid Education Program (VPEP) and 72507 - Investment Services for Virginia Education Savings Trust (VEST). This service area also reflects reimbursements made directly to customers at their request.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$0	\$0	0.00	0.00
<i>Provide appropriation for sum sufficient estimates in base budget</i>	\$50,000,000	\$50,000,000	0.00	0.00
<i>Adjust sum sufficient appropriation for increased payment of higher education expenses</i>	\$30,000,000	\$45,000,000	0.00	0.00
Total for Service Area	\$80,000,000	\$95,000,000	0.00	0.00

Investment Services for Virginia Prepaid Education Program

This service area reflects all activity related to the administration and operation of the Virginia Prepaid Education Program (VPEP), an Internal Revenue Code Section 529 qualified tuition program that enables parents, grandparents, and others to prepay college tuition and mandatory fees at Virginia public community colleges and four-year colleges and universities, and to apply benefits toward the cost of Virginia independent colleges and universities and out-of-state institutions.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$0	\$0	0.00	0.00
<i>Provide appropriation for sum sufficient estimates in base budget</i>	\$2,477,045	\$2,477,045	0.00	0.00
<i>Adjust sum sufficient appropriation for increased growth of Virginia Prepaid Education Program expenses</i>	\$218,835	\$307,335	0.00	0.00
Total for Service Area	\$2,695,880	\$2,784,380	0.00	0.00

Investment Services for Virginia Education Savings Trust Program

This service area reflects all activity related to the administration and operation of the Virginia Education Savings Trust (VEST), an Internal Revenue Code Section 529 qualified tuition program that allows students of all ages to save for college costs, including tuition, fees, room and board, and required textbooks and computers, by investing in a menu of mutual funds and actively managed investment options on a tax-advantaged basis.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$0	\$0	0.00	0.00
<i>Provide appropriation for sum sufficient estimates in base budget</i>	\$2,540,142	\$2,540,142	0.00	0.00
<i>Adjust sum sufficient appropriation for increased growth of Virginia Education Savings Trust</i>	\$254,562	\$664,990	0.00	0.00
Total for Service Area	\$2,794,704	\$3,205,132	0.00	0.00

Information Systems Development Services

This service area reflects all activity related to the administration and operation of a unique software product that was developed specifically to meet the goals and objectives of service areas 72506 - Investment Services for Virginia Prepaid Education Program and 72507 Investment Services for Virginia Education Savings Trust, while fulfilling the technical requirements of Section 529 of the Internal Revenue Code, under which the service areas are required to operate.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$613,133	\$613,133	6.00	6.00
<i>Transfer centrally funded amounts to agency budgets</i>	\$77,037	\$77,037	0.00	0.00
<i>Provide appropriation for sum sufficient estimates in base budget</i>	\$276,758	\$276,758	0.00	0.00
<i>Adjust sum sufficient appropriation for increased cost of the systems development services</i>	\$101,653	\$101,653	0.00	0.00
Total for Service Area	\$1,068,581	\$1,068,581	6.00	6.00

Administrative and Support Services

This service area reflects the efforts to provide overall administrative, managerial, and logistical support services to all service areas of the agency.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$3,286,089	\$3,286,089	44.00	44.00
<i>Transfer centrally funded amounts to agency budgets</i>	\$133,333	\$133,333	0.00	0.00
Total for Service Area	\$3,419,422	\$3,419,422	44.00	44.00

Virginia Retirement System

Mission Statement

The Virginia Retirement System's mission is to provide superior service in the administration of pension benefits and related services on behalf of participating Virginia public employers and their employees, and to serve as stewards of the funds in our care.

Agency Goals:

- To deliver high-quality, cost-effective, customer-focused service.
- To maintain an environment conducive to successful performance.
- To be an informed and collaborative partner in the government and business environments.
- To practice superior stewardship and sound management.

Customers Served:

- Retirees

Customers Served:

- Active Members
- Employers
- Inactive and Deferred Members

Agency Budget Summary

	General Fund	Nongeneral Fund	TOTAL	Personnel Costs	Other Costs	Positions
OPERATING BUDGET HISTORY:						
FY 2003	\$0	\$29,402,462	\$29,402,462	\$15,014,844	\$14,387,618	233.00
FY 2004	\$250,000	\$29,779,522	\$30,029,522	\$15,433,680	\$14,595,842	233.00
FY 2005	\$78,000	\$32,251,252	\$32,329,252	\$17,739,690	\$14,589,562	257.00
FY 2006	\$78,000	\$33,304,775	\$33,382,775	\$18,626,213	\$14,756,562	261.00
NEW OPERATING BUDGET SUMMARY:						
FY 2007 Base Budget	\$78,000	\$33,304,775	\$33,382,775	\$20,178,267	\$13,204,508	261.00
FY 2007 Addenda	\$0	\$5,631,701	\$5,631,701	\$3,913,914	\$1,717,787	20.00
FY 2007 TOTAL	\$78,000	\$38,936,476	\$39,014,476	\$24,092,181	\$14,922,295	281.00
FY 2008 Base Budget	\$78,000	\$33,304,775	\$33,382,775	\$20,178,267	\$13,204,508	261.00
FY 2008 Addenda	\$0	\$5,555,036	\$5,555,036	\$3,909,249	\$1,645,787	20.00
FY 2008 TOTAL	\$78,000	\$38,859,811	\$38,937,811	\$24,087,516	\$14,850,295	281.00

Agency Summary of Recommended Operating Budget Addenda

► Transfer centrally funded amounts to agency budgets

Adjusts the agency budget to reflect the cost of items such as the continuation of 2005 and 2006 salary and health insurance premium increases, and changes in retirement and disability contribution rates. For each year, \$1.3 million (NGF).

► Provide funding for the investment professional's incentive pay plan

Brings the compensation of Virginia Retirement System investment staff in line with similar positions in the private sector and other government entities. This incentive pay plan is necessary to attract and retain quality investment staff. For each year, \$1.1 million (NGF).

► Provide for workload increases in the administration of benefits and retirement programs

Provides additional support to provide the customer service levels required by the ever increasing number of retirement applications resulting from the aging of the state and local government workforce. This additional support includes an increase in direct customer service contact personnel and improvements in the information technology used to serve Virginia Retirement System customers. For 2007, \$1.6 million (NGF) and 11 positions. For 2008, \$1.6 million (NGF).

► Provide for workload increases in the investment of Retirement System funds

Provides additional support for the Virginia Retirement System Investment Department for increases in workload resulting from the increase in the amount of the Retirement System's portfolio managed in-house. This will result in a cost savings from reduced external investment management fees. For 2007, \$1.1 million (NGF) and seven positions. For 2008, \$1.1 million (NGF).

► Provide for the data needs of the Investment Department

Provides for the necessary software development and data feeds that will allow the Investment Department to manage more of the portfolio in-house. This will result in a cost savings from reduced external investment management fees. For 2007, \$492,640 (NGF) and two positions. For 2008, \$488,640 (NGF).

Agency Service Areas:

Administration of Retirement and Insurance Programs

Customer Services Relations:

- Member Services

- Retiree Services
- Service Retirement
- Disability Retirement
- Beneficiary Payment Services
- Health Insurance
- Refunds
- Long-term Care
- Virginia Sickness and Disability Plan
- Retiree and Member Customer Contact Center
- Member Counseling
- Employer Customer Contact Center
- Education and Training
- Volunteer Firefighters & Rescue Squad
- Optional Retirement Plan
- Deferred Compensation Plan & Cash Match
- Defined Contribution Plans
- Employer Reporting
- Employer Representative Program

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$7,817,638	\$7,817,638	107.00	107.00
<i>Transfer centrally funded amounts to agency budgets</i>	\$431,295	\$431,295	0.00	0.00
<i>Provide for workload increases in the administration of benefits and retirement programs</i>	\$365,284	\$365,334	6.00	6.00
Total for Service Area	\$8,614,217	\$8,614,267	113.00	113.00

Objective: Enhance on-line customer service.

Key Performance Measure(s)	Measure Baseline(s)	Measure Targets(s)
Roll out phase one member access by June 30, 2006 in accordance with the project plan developed.	n/a	June 30, 2006

Objective: Enhance customer service delivery across the agency.

Key Performance Measure(s)	Measure Baseline(s)	Measure Targets(s)
Implement customer service standards to reduce the established abandoned call rate no later than June 30, 2006.	Benchmark Analysis of Peer Groups	June 20, 2006
Replace the telephone switch and develop a customer relationship management (CRM) strategy no later than June 30, 2006.	n/a	June 30, 2006
Complete a study of service and creditable compensation. Publish findings and recommendations no later than June 30, 2006.	n/a	June 30, 2006

Objective: Maintain Operational Standards.

Key Performance Measure(s)	Measure Baseline(s)	Measure Targets(s)
100% of monthly retirement payrolls will be run to ensure that the recurring retirement benefit is issued no later than the first day of the month.	The baseline is a set expectation.	100% Monthly
Ensure 90% of members are reported by the due date.	The baseline is a set expectation.	90% reporting
98% of Purchase of Prior Service cost letters processed with 95 % accuracy and within 30 days of receipt of completed application.	The baseline is a set expectation.	Maintain processing average of 98% with 95% accuracy.
Process Service Retirements in an average of 75 days from submittal of a completed application with 95% accuracy rate.	The baseline is a set expectation.	98% processed with 95% accuracy
98% of Disability Retirements processed with 95% accuracy and placed on payroll within 40 days of medical review board approval.	The baseline is a set expectation.	98% processed with 95% accuracy
95% of refunds processed within 60 days.	The baseline is a set expectation.	95%
85% of calls received by the Customer Contact Center are answered without being transferred.	The baseline is a set expectation.	85% of calls answered
Estimates completed in less than 30 days.	The baseline is a set expectation.	Estimates completed in less than 30 days.
98% of service retirement cases are placed on payroll without intervention to adjust service.	The baseline is a set expectation.	98%
96% of monthly employer payrolls are processed within 30 days of the due date.	The baseline is a set expectation.	90% reporting

Investment Management Services

Investment Management Services:

- Administration
- Board of Trustees' Committees
- Investment Operations
- Deferred Compensation Program
- Equity Management
- Real Estate Management
- Alternative Investment Management
- Fixed Income Management
- Hedge Fund Management
- Investment Accounting
- Credit Strategies Management

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$9,756,402	\$9,756,402	43.00	43.00
<i>Transfer centrally funded amounts to agency budgets</i>	\$305,699	\$305,699	0.00	0.00
<i>Provide funding for the investment professional's incentive pay plan</i>	\$1,088,823	\$1,088,823	0.00	0.00
<i>Provide for workload increases in the investment of Retirement System funds</i>	\$1,137,900	\$1,077,900	7.00	7.00
<i>Provide for the data needs of the Investment Department</i>	\$492,640	\$488,640	2.00	2.00
Total for Service Area	\$12,781,464	\$12,717,464	52.00	52.00

Objective: Maintain Operational Standards.

Key Performance Measure(s)	Measure Baseline(s)	Measure Targets(s)
Monitor and maintain approved asset allocations.	The baseline is a set expectation.	The target is a set expectation.
Exceed investment benchmarks.	The baseline is a set expectation.	The target is a set expectation.
90% of the partnerships' financial analysis to Mellon by the last day of the month.	The baseline is a set expectation.	90%
Receive 100% of the reconciliations from all investment managers for all four quarters.	The baseline is a set expectation.	100% Received
100% of fees must be verified for accuracy within prescribed error margins.	The baseline is a set expectation.	100% Accuracy

Administrative and Support Services

Administrative and Support Services:

- Director
- Attorney General
- Board of Trustees
- Public Relations and Communications
- Procurement Section
- Process and Data Quality
- Administrative Appeals
- Legislative Affairs
- Human Resources
- Internal Audit
- Finance
- Technology Services

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$15,808,735	\$15,808,735	111.00	111.00
<i>Transfer centrally funded amounts to agency budgets</i>	\$571,190	\$571,190	0.00	0.00
<i>Provide for workload increases in the administration of benefits and retirement programs</i>	\$1,238,870	\$1,226,155	5.00	5.00
Total for Service Area	\$17,618,795	\$17,606,080	116.00	116.00

Objective: Maintain Operational Standards.

Key Performance Measure(s)	Measure Baseline(s)	Measure Targets(s)
Administrative Cost not to exceed peer group median cost as defined by Cost Effectiveness Measurement, Inc. (CEM).	Prior Year Peer Group Median Cost	To stay below the peer group median cost.
Complete 100% of reconciliations monthly within last working day of the following month.	The baseline is a set expectation.	100% completion
Achieve 99.0% System Availability for all critical business systems.	The baseline is a set expectation.	99% availability
Image 95.0% of workflow documents within 24 hours.	The baseline is a set expectation.	95% Imaging

Objective: Enhance processes to insure operations are managed in an efficient, effective, fiscally responsible manner.

Key Performance Measure(s)	Measure Baseline(s)	Measure Targets(s)
Complete the project management process roll-out and implement phase I of the financial management system and KMS by June 30, 2006.	n/a	June 30, 2006

Virginia Workers' Compensation Commission

Mission Statement

Administer the Commonwealth of Virginia's Workers' Compensation Act and its related funds and the Criminal Injuries Compensation Fund in a fair, unbiased and efficient manner.

Agency Budget Summary

	General Fund	Nongeneral Fund	TOTAL	Personnel Costs	Other Costs	Positions
OPERATING BUDGET HISTORY:						
FY 2003	\$0	\$19,236,132	\$19,236,132	\$9,683,756	\$9,552,376	184.00
FY 2004	\$0	\$19,236,132	\$19,236,132	\$9,683,756	\$9,552,376	184.00
FY 2005	\$0	\$20,324,760	\$20,324,760	\$11,375,829	\$8,948,931	189.00
FY 2006	\$0	\$20,324,760	\$20,324,760	\$11,375,829	\$8,948,931	189.00
NEW OPERATING BUDGET SUMMARY:						
FY 2007 Base Budget	\$0	\$20,324,760	\$20,324,760	\$11,375,829	\$8,948,931	189.00
FY 2007 Addenda	\$0	\$4,460,932	\$4,460,932	\$1,828,734	\$2,632,198	17.00
FY 2007 TOTAL	\$0	\$24,785,692	\$24,785,692	\$13,204,563	\$11,581,129	206.00
FY 2008 Base Budget	\$0	\$20,324,760	\$20,324,760	\$11,375,829	\$8,948,931	189.00
FY 2008 Addenda	\$0	\$4,460,932	\$4,460,932	\$1,828,734	\$2,632,198	17.00
FY 2008 TOTAL	\$0	\$24,785,692	\$24,785,692	\$13,204,563	\$11,581,129	206.00

Agency Summary of Recommended Operating Budget Addenda

▶ **Transfer centrally funded amounts to agency budgets**

Adjusts the agency budget to reflect amounts moved from Central Appropriations to cover the cost of items such as the continuation of 2005 and 2006 salary and health insurance premium increases, and changes in retirement and disability contribution rates. For each year, \$959,114 (NGF).

▶ **Increase nongeneral fund appropriation**

Makes a technical adjustment to increase nongeneral fund appropriations for the Commission to provide improved service to agency stakeholders. This includes the award of federal grants for criminal victims' compensation, services for the uninsured employers' fund, and other administrative needs. For each year, \$2.7 million (NGF).

► **Provide additional attorney services**

Makes a technical adjustment to increase appropriations for the Commission to provide attorney's services to injured workers of uninsured employers and to conduct administrative changes to provide improved services to agency stakeholders. For 2007, \$767,818 (NGF) and 17 positions. For 2008, \$767,818 (NGF).

Agency Service Areas:

Workers Compensation Services

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$16,450,314	\$16,450,314	181.00	181.00
<i>Transfer centrally funded amounts to agency budgets</i>	\$921,319	\$921,319	0.00	0.00
<i>Increase nongeneral fund appropriation</i>	\$1,630,000	\$1,630,000	0.00	0.00
<i>Provide additional attorney services</i>	\$697,170	\$697,170	15.00	15.00
Total for Service Area	\$19,698,803	\$19,698,803	196.00	196.00

Crime Victim Compensation

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$3,874,446	\$3,874,446	8.00	8.00
<i>Transfer centrally funded amounts to agency budgets</i>	\$37,795	\$37,795	0.00	0.00
<i>Increase nongeneral fund appropriation</i>	\$1,104,000	\$1,104,000	0.00	0.00
<i>Provide additional attorney services</i>	\$70,648	\$70,648	2.00	2.00
Total for Service Area	\$5,086,889	\$5,086,889	10.00	10.00

Virginia Office for Protection and Advocacy

Mission Statement

Through zealous and effective advocacy and legal representation to:

Protect and advance the legal, human, and civil rights of persons with disabilities;

Combat and prevent abuse, neglect and discrimination;

Promote independence, choice and self determination by persons with disabilities in the Commonwealth

Agency Goals:

- People with Disabilities are Free from Abuse and Neglect.
- Children with Disabilities Receive an Appropriate Education.
- People with Disabilities Have Equal Access to Government Services.
- People with Disabilities Live in the Most Integrated Environment Possible.
- People with Disabilities are Employed to their Maximum Potential.
- People with Disabilities have Equal Access to Appropriate and Necessary Health Care.
- People with Disabilities in the Commonwealth of Virginia are Aware of the Virginia Office for Protection and Advocacy's (VOPA) Services.

Customers Served:

- Persons with cognitive, sensory, mental, and physical disabilities, with specific goals addressing the needs of vulnerable, complex, and underserved populations, and the systems that serve them

Agency Budget Summary

	General Fund	Nongeneral Fund	TOTAL	Personnel Costs	Other Costs	Positions
OPERATING BUDGET HISTORY:						
FY 2003	\$211,733	\$1,980,341	\$2,192,074	\$0	\$2,192,074	25.00
FY 2004	\$211,928	\$1,980,341	\$2,192,269	\$714,543	\$1,477,726	25.00
FY 2005	\$216,247	\$2,527,407	\$2,743,654	\$1,993,961	\$749,693	35.00
FY 2006	\$216,247	\$2,527,407	\$2,743,654	\$1,993,961	\$749,693	35.00
NEW OPERATING BUDGET SUMMARY:						
FY 2007 Base Budget	\$216,247	\$2,527,407	\$2,743,654	\$2,214,656	\$528,998	35.00
FY 2007 Addenda	\$12,538	\$127,711	\$140,249	\$140,249	\$0	0.00
FY 2007 TOTAL	\$228,785	\$2,655,118	\$2,883,903	\$2,354,905	\$528,998	35.00
FY 2008 Base Budget	\$216,247	\$2,527,407	\$2,743,654	\$2,214,656	\$528,998	35.00
FY 2008 Addenda	\$12,538	\$127,711	\$140,249	\$140,249	\$0	0.00
FY 2008 TOTAL	\$228,785	\$2,655,118	\$2,883,903	\$2,354,905	\$528,998	35.00

Agency Summary of Recommended Operating Budget Addenda

► **Transfer centrally funded amounts to agency budgets**

Adjusts the agency budget to reflect amounts moved from Central Appropriations to cover the cost of items such as the continuation of 2005 and 2006 salary and health insurance premium increases, and changes in retirement and disability contribution rates. For each year, \$12,538 (GF) and \$127,711 (NGF).

► **Delay implementation date for establishing an ombudsman section until July 1, 2008**

Extends through language the date for implementation of the ombudsman section in the Virginia Office for Protection and Advocacy from July 1, 2006 until July 1, 2008.

Agency Service Areas:

Protection and Advocacy

Resource Advocacy Unit

This unit is the public's first contact with VOPA. It strives to communicate to the public VOPA's mission and effectively assists the public with accessing disability rights-related resources through information and referral and short-term assistance. The unit is also responsible for the agency's public awareness activities and the development of its publications.

Institutions Unit

This unit is responsible for advocating for consumers and systems change, under VOPA's yearly established goals and case selection criteria (criteria includes consideration of: severity of harm; imminence of risk; potential of case to facilitate systems change and/or benefit other individuals; availability of other advocacy or legal resources to help the individual address the alleged violation; ability of client to advocate for him/herself and/or ability of the family to advocate effectively for the client; availability of other appropriate, independent investigatory authorities; availability of agency resources; lack of merit or available legal remedy), in Virginia's institutions, which also includes all investigations of abuse and neglect.

Community Unit

This unit is responsible for advocating for consumers and systems change, under VOPA's yearly established goals and case selection criteria (criteria includes consideration of: severity of harm; imminence of risk; potential of case to facilitate systems change and/or benefit other individuals; availability of other advocacy or legal resources to help the individual address the alleged violation; ability of client to advocate for him/herself and/or ability of the family to advocate effectively for the client; availability of other appropriate, independent investigatory authorities; availability of agency resources; lack of merit or available legal remedy), in Virginia's communities, and providing assistance to the Institutions Unit with consumers transitioning to the community.

Administrative Unit

This unit is responsible for the daily business operations of the agency with such responsibilities as personnel, advocacy support, financial management, time and labor management, information technology, contracts and procurement, etc.

Service Area Budget	2007 Dollars	2008 Dollars	2007 Positions	2008 Positions
Base Budget	\$2,743,654	\$2,743,654	35.00	35.00
<i>Transfer centrally funded amounts to agency budgets</i>	\$140,249	\$140,249	0.00	0.00
Total for Service Area	\$2,883,903	\$2,883,903	35.00	35.00